10/553927 JC12 Rec'd PCT/PTC 19 OCT 2005

ATTORNEY DOCKET No.: 2003946-0210 (FP-03-0122-02US-XX (PCT))

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arimoto, et al.

Examiner: NYA.

Serial No:

NYA

Group Art Unit: NYA

Filing Date:

October 19, 2005

Corresp. to:

PCT/JP2004/005788

Int. Filing Date:

April 22, 2004 April 22, 2003

Earliest Priority: Title:

A POLYMORPH OF 4-[3-CHLORO-4

(CYCLOPROPYLAMINOCARBONYL)AMINOPHENOXY]-7-METHOXY-6-QUINOLINECARBOXAMIDE AND A PROCESS

THE PREPARATION OF THE SAME

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October 19, 2005 Date

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INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, Applicant requests consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- [X] An *original* Information Disclosure Statement; or
- [] A supplemental Information Disclosure Statement.

Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- [X] Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
 - [] Within three months of the filing date of a national application other than

a continued prosecution application under § 1.53(d);

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| | [X] | Withii | n three months of the date of entry of the national stage as set forth | | | | | |
|----|--|----------|--|--|--|--|--|--|
| | | in § 1. | 491 in an international application; | | | | | |
| | [] | Before | e the mailing of a first Office action on the merits; or | | | | | |
| | [] | Before | e the mailing of a first Office action after the filing of a request for | | | | | |
| | | contin | ued examination under § 1.114. | | | | | |
| [] | Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the mailing | | | | | | | |
| | date of | f any of | f a final action under § 1.113, a notice of allowance under § 1.311, or | | | | | |
| | an acti | on that | otherwise closes prosecution in the application; Applicant hereby | | | | | |
| | either: | | | | | | | |
| | [] | Certifi | ies that either: | | | | | |
| | | [] | each item of information contained in the information disclosure | | | | | |
| | | | statement was first cited in any communication from a foreign | | | | | |
| | | | patent office in a counterpart foreign application not more than | | | | | |
| | | | three months prior to the filing of the information disclosure | | | | | |
| | | | statement; or | | | | | |
| | | [] | That no item of information contained in the information | | | | | |
| | | | disclosure statement was cited in a communication from a foreign | | | | | |
| | | | patent office in a counterpart foreign application, and, to the | | | | | |
| | | | knowledge of the person signing the certification after making | | | | | |
| | | | reasonable inquiry, no item of information contained in the | | | | | |
| | | | information disclosure statement was known to any individual | | | | | |
| | | | designated in § 1.56(c) more than three months prior to the filing | | | | | |
| | | | of the information disclosure statement; or | | | | | |
| | [] | Includ | les herewith the fee set forth in $\delta 1.17(p)$. | | | | | |

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| [] | Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action under | | | | | | | |
|----|--|----------|---|--|--|--|--|--|
| | § 1.1 | 13, a no | tice of allowance under § 1.311, or an action that otherwise closes | | | | | |
| | prose | cution i | ution in the application; Applicant hereby both: | | | | | |
| | [] | Certif | fies that either: | | | | | |
| | | [] | each item of information contained in the information disclosure | | | | | |
| | | | statement was first cited in any communication from a foreign | | | | | |
| | | | patent office in a counterpart foreign application not more than | | | | | |
| | | | three months prior to the filing of the information disclosure | | | | | |
| | | | statement; or | | | | | |
| | | [] | That no item of information contained in the information | | | | | |
| | | | disclosure statement was cited in a communication from a foreign | | | | | |
| | | | patent office in a counterpart foreign application, and, to the | | | | | |
| | | | knowledge of the person signing the certification after making | | | | | |
| | | | reasonable inquiry, no item of information contained in the | | | | | |
| | | | information disclosure statement was known to any individual | | | | | |
| | - | | designated in § 1.56(c) more than three months prior to the filing | | | | | |
| | | | of the information disclosure statement; and | | | | | |

[] Includes herewith the fee set forth in § 1.17(p).

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

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Applicant includes copies of references as indicated below:

| X | 1 A | conv | of each | cited | reference | not in | idicated | with | an | asterisk | is | incl | ude | d: |
|-------|-------|------|----------|-------|----------------|--------|----------|----------|----|----------|----|-------|-----|-------|
| 1 4 2 | 1 1 1 | COPI | OI CUCII | OILUG | 1 CI CI CI ICC | 11011 | laicaica | ** 1 CII | u, | astorist | 10 | 11101 | ~~~ | , ., |

| [] | Copies of references indicated with an asterisk on the attached form PTO-1449 |
|----|---|
| | are not included pursuant to 37 CFR § 1.98(d) because they were previously |
| | provided to the United States Patent Office in an Information Disclosure |
| | Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the |
| | following patent application that is relied upon in the present case for an earlier |
| | effective filing date under 35 USC § 120: |

| Serial Number | Filing Date | Status |
|---------------|-------------|--------|
| | | |

[] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the aboveidentified application:

Applicant certifies that the Information Disclosure Statement either:

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|---------|------------|-------------|--------------|----------|-----------|
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| 1 / 1 | LYOCS HOL | COMMAND IND | 1-1711211311 | Ianguage | спанонь. |

[] Includes one or more translations of a non-English citation; or

[] Does contain non-English language citations, of which the following is a concise explanation:

Remarks

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

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The submission of this Information Disclosure Statement shall not be construed as representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 USC §102.

It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Dated: October 19, 2005

Respectfully submitted,

Brenda Herschbach Jarrell

Reg. No.: 39,223

CHOATE, HALL & STEWART, LLP 2 International Place Boston, Massachusetts 02110 (617) 248-5000 (617) 248-4000

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In re Application No. Form PTO-1449 U.S. Department of Atty. Docket:: NV 553927 Commerce 2003946-0210 (FP-03-0122-02US-XX (PCT)) Patent and Trademark Office (REV. 8-83) Applicant:. Arimoto, et al.. INFORMATION DISCLOSURE STATEMENT Filing Date: Group: NYA October,____, 2005 (Use several sheets if necessary) U.S. PATENT DOCUMENTS U.S. Patent No. **Applicant** Issue Date Class Subclass Examiner's **Initials** U.S. PATENT APPLICATIONS Art Unit: Applicant: Filing Date: Group: Serial Number: Examiner's Initials: 2004/0053908 A1 April 18, 2003 Funahashi, et al. 514 183 FOREIGN PATENT DOCUMENTS Translation Examiner's Document No. Country Date Initials Yes No OTHER DOCUMENTS Citation (Including Author, Title, Date, Pertinent Pages, Etc.) Examiner's **Initials** "95th Annual Meeting Proceedings" American Association For Cancer Research 45: 1070-1071, 2004. Copy of International Search Report for related PCT application No. PCT/JP2004/005788 **DATE CONSIDERED EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

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to applicant.

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